



Some properties of subspace convex-cyclic operators

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Article info	Abstract
Original: 27 July 2019 Revised: 10 January 2020 Accepted: 5 April 2020 Published online: 20 June 2020 Key Words: convex-cyclic operator; Hahn-Banach theorem; convex-cyclic spectral.	On a Banach space X , a bounded linear operator A is called subspace convex-cyclic associated with W as a subspace, if the set $Orb(A, x) \cap W$ is dense in W for a vector $x \in X$. In this work, we use Hahn-Banach Theorem to show that the extending linear functional preserve subspace convex-cyclic operator property. Also, the algebraic structures of subspace convex-cyclic vectors can be determined, such as the spectrum.

1. Introduction

The study of subspace hypercyclic operator was started first by [10], whenever at some time [9] was published another article on it, after mentioning some properties, even though the general idea can be found in [3] and [7] since H. Rezai [12] and [8] answered some questions that asked in [10] affirmatively for that time the study focused on subspace like W . This paper, is a continuation of authors' work [1] on subspace convex-cyclic operators even though in [1] we define subspace convex-cyclic, so in this article, we prove some properties on it. As a consequences work, we clarified that Hahn-Banach Theorem has a great act in **Theorem 2.8** for extending a linear functional and the operator remains subspace convex-cyclic. Also, we show that the norm of an operator has affected been subspace convex-cyclic or not. On the other hand, before section two finished, we add projection to the operator.

In the third section, spectrum with the unit circle \mathbf{T} where $\mathbf{T} = \{z \in \mathbf{C} : |z| = 1\}$ has developed our idea for checking while the operator been subspace convex-cyclic, as a result of the spectrum, which guarantees for having dense range.

Finally, the kernel of the subspace convex-cyclic operator leads us to think about the invariant subspace, which can be found in **Theorem 3.7**.

We denote the unit disc by \mathbf{D} , where $\mathbf{D} = \{z \in \mathbf{C} : |z| < 1\}$. Also let H be an infinite-dimensional (\mathbf{R} or \mathbf{C}) Hilbert space with the separable properties. Meanwhile, we have a subspace W of H . We assume that W to be closed. And the algebra of all bounded linear operators acting on the Hilbert space H be denoted by $\mathbf{B}(H)$.

Definition 1.1 [1]

Let $A \in \mathbf{B}(H)$ and let W be a nonempty subspace of H . We assign A as a **subspace convex-cyclic** operator over W if there exists $x \in H$ such that $Orb(A, x) \cap W$ is dense in W such a vector x is said to be a subspace-convex-cyclic vector.

Where

$$Orb(A, x) = \{ P(A)x : P \text{ is convex polynomial} \},$$

$$= \{ P(A)x : P(A) := a_0 + a_1A + \dots + a_nA^n, n \in \mathbf{N}, \sum_{i=0}^n a_i = 1 \}.$$

Note: From now, we will use **Sub-Conv-Cyc** instead of **subspace convex-cyclic** operators.

2. Hahn-Banach Characterization

In this section, we start with our main theorems, and the following theorem shows us that extending our space under a few conditions remains Sub-Conv-Cyc operator to be the same, as a particular case with the same Sub-Conv-Cyc vector.

Theorem 2.1

Let $A \in \mathbf{B}(H)$ be a Sub-Conv-Cyc operator for W if V is an invariant subspace for A ($A(V) \subset V$) and $W \subset V$, then $A|_V : V \rightarrow V$ is a Sub-Conv-Cyc operator for W .

Proof:

Since we have A is Sub-Conv-Cyc operator for W , then follow Definition 1.1 there exists an element like $x \in W$ such that

$$\overline{Orb(A, x) \cap W} = W. \tag{1.1}$$

Besides, N is an invariant subspace $A(V) \subset V$, then we will define

$$A|_V (a) = a. \tag{1.2}$$

For being more specific, we can say $a \in W$ as we have $W \subset V$. So, we write equation (1.2) as

$$A|_V (a) = a, \text{ for all } a \in W. \tag{1.3}$$

Now, combine equations (1.1) and (1.3) we get

$$\overline{Orb(A|_V, x) \cap W} = W.$$

As a consequence, we will have $A|_V$, which is a Sub-Conv-Cyc operator over W .

Definition 2.2 [2]

Let W and V be subspaces of X . If $W \cap V = \{0\}$ and $W + V = X$, and we say that W and V are integrating each other.

Definition 2.3

Let W and V be two subspaces of H as they defined in Definition 2.2. We recall projection from W onto V when the function $J : H \rightarrow H$ defined as $J(x+y)=x$, where $x \in W$ and $y \in V$.

Similarly, we get the same results as in [10]:

Lemma 2.4

Given an operator $A \in \mathbf{B}(H)$, $V \subset H$ and J the projection from H onto V , then V is an invariant subspace of J if and only if $JA = JAJ$.

Lemma 2.5

Given an operator $A \in \mathbf{B}(H)$, and J the projection from W onto V . If $JA = JAJ$, then $(JA)^k = JA^k$ for all $k \in \mathbf{N}$ where \mathbf{N} is the set of non-negative integer numbers.

Proof

By using mathematical inductions, for $k=1$, it is clear. Let $(JA)^k = JA^k$ be true for $k=m$. Now for $k=m+1$, $(JA)^{m+1} = (JA)(JA)^m = JAJA^m = JAA^m = JA^{m+1}$.

Let us go out of the subspace that we work on it, with restricting our operator, as we see in the following Sub-Conv-Cyc operator on the small subspace G , and using projection like J restricted over W gives us an excellent result on G as a Sub-Conv-Cyc operator.

Theorem 2.6

Let W and V be two integrate subspaces as defined in Definition 2.2 over H . And let J be the projection as defined in Definition 2.3. Suppose that $AV \subset V$ (is invariant). If A is a Sub-Conv-Cyc operator for some, $G \subset W$, then also $JA|_W$.

Proof

Since A is a Sub-Conv-Cyc operator for G , so we are sure that we have a Sub-Conv-Cyc vector $x \in G$ in such way $Orb(A, x) \cap G$ is dense G and as we know $G \subset W$, this directed as $J(Orb(A, x)) \cap G$ is comfortably dense in G hence

$$J\{x, Ax, A^{\acute{e}}x, \dots\} \cap G = \{Jx, JAx, JA^{\acute{e}}x, \dots\} \cap G = \{y, Ay, A^{\acute{e}}y, \dots\} \cap G$$

where $Jx = y$ for all $x, y \in G$ thus, it is dense within G .

Also, since V is an invariant by the given so, by Lemma 2.4 we have $JA = JAJ$, and even by Lemma 2.5 we have $(JA)^k = JA^k, \forall k \in N$ whenever

$$\begin{aligned} J(Orb(A, x)) &= J\{\alpha_0 + \alpha_1 Ax + \alpha_2 A^2 x + \dots\} \\ &= \{J\alpha_0 + J(\alpha_1 Ax) + J(\alpha_2 A^2 x) + \dots\} \\ &= \{\alpha_0 + \alpha_1 JAx + \alpha_2 JA^2 x + \dots\} \end{aligned}$$

On the other hand, for all $x \in G \subset W$,

$$\begin{aligned} Orb(JA|_W, x) &= \{\alpha_0 + \alpha_1 (JA)x + \alpha_2 (JA)^2 x + \dots\} \\ &= \{\alpha_0 + \alpha_1 JAx + \alpha_2 JA^2 x + \dots\} \end{aligned}$$

Thus $J(Orb(A, x)) = Orb(JA|_W, x)$, we get that $Orb(JA|_W, x) \cap G$ shown dense set in G .

When we talk about Banach space X with an infinite dimension and separable, a Sub-Conv-Cyc operator distributes some of the properties that are the same as a W -hypercyclic operator or some time with a convex-cyclic operator. For an instant, it follows from the Definitions 1.1 that all Sub-Conv-Cyc vector for an operator A on X is directly a convex-cyclic vector for A .

We will prove oneself results as in [11], we will do it for a Sub-Conv-Cyc operator.

Theorem 2.7

If A is a bounded Sub-Conv-Cyc operator over a separable Banach space like X , then $\|A\| > 1$.

Proof

Suppose if possible that $\|A\| \leq 1$. Since $\|A\| = \sup\{\frac{\|Ax\|}{\|x\|}, \|x\| \neq 0\}$, with our operator because it's the generalized and sums of operators we choose it as $\|A\| = \sup\{\|A^n(x)\|, n \geq 1\}$, that is $\|A\| < \infty$, for all nonzero element $x \in W$, since A is a Sub-Conv-Cyc operator, then $\sup\{\|A^n x\|\} < \infty$, for each $n \geq 1$ and

$x \in W$ which implies that $Orb(A,x)$ is bounded and countable that is, it is not dense in W which contradicts our assumption, so $\|A\| > 1$.

Theorem 2.8

Let X be Banach space with an infinite dimension and separable, and $W \subseteq X$ let g be a continuous linear functional such that g , not zero. Then A is a Sub-Conv-Cyc operator if and only if $\sup[g(Orb(A,x))] \rightarrow \infty$.

Proof

“If” part is clear since $\sup[g(Orb(A,x))] \rightarrow \infty$. means $\sup[g(Orb(A,x))]$ is not bounded, we can get A is a Sub-Conv-Cyc operator by using Theorem 2.7.

“Only if part,” assume that $\sup[g(Orb(A,x))] \rightarrow \infty$. This implies that $\sup[\text{Re}(g(Orb(A,x)))] \rightarrow \infty$. Suppose that A is not a Sub-Conv-Cyc operator, so we should have a polynomial say $q(x)$ such that $q(x) \in W$ and $q(x) \in Orb(A,x)$, but $q(x) \in \overline{Orb(A,x) \cap W}$, then by using Hahn-Banach Theorem [5] there exists a continuous linear functional on W say f such that $\text{Re}[g(x)] < \text{Re}[g(q(x))]$ for all $Orb(A,x) \cap W$, we get that $\text{Re}(g(Orb(A,x) \cap W))$ is bounded above thus $\sup[g(Orb(A,x))] < \infty$. Which contradicts our given.

Theorem 2.9

Let X be Banach space with an infinite dimension and separable, and $W \subseteq X$. If A is Sub-Conv-Cyc operator, then $\sup\|A^* g^*\| \rightarrow \infty$ for each $0 \neq g^* \in X^*$.

Proof

We prove it by getting a contradiction by using Theorem 2.8 that there exists a linear functional $g^* \neq 0$ in X^* such that $\|A^* g^*\| \leq \alpha$ for some positive scalar α . Let x be any arbitrary vector in X . Then

$$|g^*(Ax)| = |(A^* g^*)x| \leq \|A^* g^*\| \|x\| \leq \alpha \|x\|,$$

consequently, we get

$$|g^* Orb(A,x)| \leq \alpha \|x\|.$$

Thus we get that $Orb(A,x)$ is not dense in W , then x cannot be a Sub-Conv-Cyc vector for A ; the selection of x gives us a contradiction for A been a Sub-Conv-Cyc operator.

3. Spectral Properties

This section is about the properties of the spectrum of A , where A is a Sub-Conv-Cyc operator.

Theorem 3.1

Let X be Banach space with an infinite dimension and separable, and $W \subseteq X$. If A is Sub-Conv-Cyc operator, then $\sigma(A) \cap \mathbf{T} \neq \emptyset$.

Before we prove Theorem 3.1, we need the following lemmas, and one can find their proofs in [3].

Lemma 3.2

Let $A \in \mathbf{B}(X)$ be an operator

1. Suppose that $\sigma(A) \subset \mathbf{D}$. Then there exists $a < 1$ and $N \in \mathbf{N}$ such that $\|A^n(x)\| \leq a^n \|x\|$ for any $x \in X$ and all $n \geq N$.
2. Suppose that $\sigma(A) \subset \mathbf{C} \setminus \overline{\mathbf{D}}$. Then there exists $a > 1$ and $N \in \mathbf{N}$ such that $\|A^n(x)\| \geq a^n \|x\|$ for any $x \in X$ and all $n \geq N$.

Lemma 3.3

Let U be a compact subset of F , and let F be a connected component of U . Assume that F is contained in some open set $\Psi \subset F$. Then one can find there exists a clopen (i.e., closed and open) subset Σ of U such that $F \subset \Sigma \subset \Psi$.

Proof of Theorem 3.1

Suppose that $\sigma(A) \cap \mathbf{T} = \emptyset$. Then there exists σ_1 and σ_2 such that $\sigma = \sigma_1 \cup \sigma_2$ by Lemma 3.3, such that $\sigma_1 \subseteq \mathbf{D}$ and $\sigma_2 \subseteq \overline{\mathbf{D}}^c$. By Riesz-decomposition theorem 2.10 [13] there exist integrated invariant subspaces W_1 and W_2 such that

$$\sigma(A|_{W_1}) \subseteq \sigma_1 \text{ and } \sigma(A|_{W_2}) \subseteq \sigma_2.$$

Let $x \in X$. Then there exist $w_1 \in W_1$ and $w_2 \in W_2$ such that $x = w_1 + w_2$, as $A|_W = A|_{W_1} \oplus A|_{W_2}$, in case that $w_2 = 0$ we have $\|A^n x\| = \|(A|_{W_1})^n\| = \|(A|_{W_1})^n w_1\|$ and by Lemma 3.2 we get that it converges to zero. Which means $Orb(A, x) \cap W$ is bounded and consequently with any subspace W_1 or any others does not give us a dense in that subspace as clarified in [6], which means A is not a Sub-Conv-Cyc operator and finally, we get a contradiction.

In case if $w_2 \neq 0$, then

$$\|A^n x\| = \|A^n w_1 + A^n w_2\| \geq \|A^n w_2\| - \|A^n w_1\|$$

again by using Lemma 3.2, we get that $\|A^n w_2\|$ goes to infinity and $\|A^n w_1\|$ zero it follows that $\|A^n x\|$ goes to infinity, which tells us many elements they could be finite of $Orb(A, x) \cap W$ intersecting with W_1 and hence cannot be dense [6] in that subspace, and again we get a contradiction.

We could let σ_1 and σ_2 be empty as you can also enable $w_1 = 0$, and you get the same result.

Remark 3.4

As mentioned in [10] for an M - Hypercyclic operator and different with the convex-cyclic operator in [11] that all parts of the spectrum $\sigma_i(A)$ shouldn't meet \mathbf{T} for any aspect subspace, also whenever A is a Sub-Conv-Cyc operator, we get the same result, and we show it by the same counterexample as follow:

Example 3.5

Let the operator $T = 2B \oplus 3I$, where B is the backward shift, and I is the identity operator, defined as to $T : \ell^2 \oplus \ell^2 \rightarrow \ell^2 \oplus \ell^2$ be a Sub-Conv-Cyc operator to the subspace $W = \{0\} \oplus \ell^2$ with a Sub-Conv-Cyc vector $(0, l)$, when l is vector Sub-Conv-Cyc for B we recognize that $\sigma(T) = 2\mathbf{D} \cup \{3\}$. Thus the same component does not intersect \mathbf{T} .

Theorem 3.6

Let X be Banach space with an infinite dimension and separable. If A is Sub-Conv-Cyc operator, then A has a dense range.

Proof

Assume that A is a Sub-Conv-Cyc operator and x be a Sub-Conv-Cyc vector for it, we will try to show it by indirect method, by supposing that A has no dense range and let $R(A)$ denotes the range of A . Then one can find a continuous linear functional g such that $g[R(A)] = \{0\}$. Then by Theorem 2.8 we should have that $\sup[\text{Re}(g(Orb(A, x)))] \rightarrow \infty$, while $A^n x \in R(A)$, $\forall n \geq 1$ it follows that $g(A^n x) = 0$, $\forall n \geq 1$. So,

$\sup[\operatorname{Re}(g(\operatorname{Orb}(A, x)))] = \sup[\operatorname{Re}(\{A^n x, 0\})]$. It comes after by Theorem 2.8 that x is impossible to be a Sub-Conv-Cyc vector, which is an opposite to our assumption; thus, A must get a dense range [6].

Theorem 3.7

If A is Sub-Conv-Cyc operator on W . Then $\operatorname{Ker}(A^* - \lambda) \subseteq W^\perp$ for all $\lambda \in \mathbb{C}$.

Proof

Let $\operatorname{ker}(A^* - \lambda) \not\subseteq W^\perp$ for all non-zero $\lambda \in \mathbb{C}$, we want to show that T is not a subspace convex cyclic operator.

Since $\operatorname{ker}(A^* - \lambda) \not\subseteq W^\perp$ then there exist $z \in \operatorname{ker}(A^* - \lambda)$ such that $z \notin W^\perp$, so

$$z \in \operatorname{ker}(A^* - \lambda) \text{ and } z \notin W^\perp,$$

$$(A^* - \lambda)z = 0 \text{ and } \langle z, w \rangle \neq 0, \text{ for all } w \in W \text{ and } z \in X,$$

$A^*z = \lambda z$ as a consequence we get that,

$$A^{n*} z = \lambda^n z \text{ for all } n \in \mathbb{N}.$$

Now for each $n \in \mathbb{N}$, $\langle \lambda^n z, w \rangle = \langle A^{n*} z, w \rangle = \langle z, A^n w \rangle$ we have two cases.

Case I: $\langle z, A^n z \rangle = 0$ for all $n \in \mathbb{N}$.

Then $z = 0$ {which is contradicted to our assumption that said $\langle z, w \rangle \neq 0$ for all $w \in W$ and any arbitrary $z \in X$.}

Or $A^n w = 0$ {which is contradicted to our assumption that said for $n = 1$, $\langle z, \lambda w \rangle = \bar{\lambda} \langle z, w \rangle = 0$ }.

Case II: $\langle z, A^n w \rangle \neq 0$ for all $n \in \mathbb{N}$.

We recognize that A is no more Sub-Conv-Cyc over W , because we never reach 0 vectors. And since M is a subspace, it should contain 0, so we get a contradiction.

Definition 3.8

Let $A \in \mathbf{B}(H)$ be a Sub-Conv-Cyc operator for W . We denote the orbits collection of W by $\operatorname{Orb}(A, W)$ under such Sub-Conv-Cyc operator A , and we denote $W = \{w_1, w_2, w_3, \dots\}$, then

$$\operatorname{Orb}(A, W) = \{\alpha_0 w_1, \alpha_1 A w_1 + \alpha_2 A w_1 + \alpha_3 A w_1 + \dots\} \cup \{\alpha_0 w_2, \alpha_1 A w_2 + \alpha_2 A w_2 + \alpha_3 A w_2 + \dots\} \cup \dots$$

Theorem 3.9

Let $A \in \mathbf{B}(H)$ be a Sub-Conv-Cyc operator for W , of $W \subset H$. Then for any number like λ

$$\operatorname{Span}[(\lambda I - A)\operatorname{Orb}(A, W)]^\perp \subseteq \operatorname{Span}[\operatorname{Orb}(A, W)]^\perp.$$

Proof

Suppose $w \in W$ is a Sub-Conv-Cyc vector for A and g is a linear functional and not equal to zero, which is orthogonal to some set like this subset $(\lambda I - A)\operatorname{Orb}(A, W)$, since the set $\operatorname{Orb}(A, W)$ is an invariant subspace because A is a Sub-Conv-Cyc operator then $A(\operatorname{Orb}(A, W)) \subseteq \operatorname{Orb}(A, W)$, hence

$$g(A^n w) = \lambda^n g(w) \tag{3.1}$$

for all $w \in \operatorname{Orb}(A, W)$ and $n \in \mathbb{N}$.

If $g|_{Orb(A,W)} \neq 0$, using Equation (3.1) and after expanding Definition 3.8 we get that $Orb(A,W) = \{\alpha_0 w_1, \alpha_1 A w_1 + \alpha_2 A w_1 + \alpha_3 A w_1 + \dots\} \cup \{\alpha_0 w_2, \alpha_1 A w_2 + \alpha_2 A w_2 + \alpha_3 A w_2 + \dots\} \cup \dots$

Since W is a subspace, then $W \subseteq Orb(A,W)$, it is clear that $g|_W \neq 0$. Thus $g(W)$ is equivalent to \mathbf{R} or \mathbf{C} , and we see that $g[Orb(A,W)]$ is also will be \mathbf{R} or \mathbf{C} . From the other side x is a convex-cyclic vector for A .

Then by definition $\overline{Orb(A,w)} \cap W = W$ that is, $W \subseteq \overline{W}$ and $W \subseteq \overline{Orb(A,w)}$. Hence

$$Orb(A,W) \subseteq \overline{Orb(A,w)}, \text{ since it is dense in } W.$$

By taking g to both sides, we get

$$\begin{aligned} g[Orb(A,W)] &\subseteq g[\overline{Orb(A,w)}] \\ g[Orb(A,W)] &\subseteq g[A^n(w)] \\ \overline{g[Orb(A,W)]} &\subseteq \overline{g[A^n(w)]} \end{aligned}$$

By the above fact and using Equation (3.1), we get $\mathbf{R} \subseteq \{\lambda^n(w), \forall n \in \mathbf{N}\}$ since $\{\lambda^n(w), \forall n \in \mathbf{N}\} \neq \mathbf{R}$ where λ a fixed scalar, so we get a contradiction. Hence, $g=0$ which means that

$$Span[(\lambda I - A)Orb(A,W)]^\perp \subseteq Span[Orb(A,W)]^\perp.$$

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